

| | | | |
|---|--|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. 1678-107 | |
| | | First Inventor Stuart CALWELL | |
| | | Title METHOD FOR AROMA THERAPY DELIVERY | |

2278 U.S. PTO
 10/725480

 120303

☐ **Applicant for Published Patent Application:**

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450 |
|---|--|

| | |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. 3. <input checked="" type="checkbox"/> Specification Total pages [10] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross references to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [5] 5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below] i <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, 6. <input checked="" type="checkbox"/> Application Data Sheet. | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program 8. Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure Statement/PTO-SB/08 Form <input checked="" type="checkbox"/> Copies of IDS Citations (Three (3)) 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s). 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). 17. <input checked="" type="checkbox"/> Other: Notification of Small Entity Status |
|---|--|

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/140,247

Prior application information: Examiner Boyer Dolinger Ashley Group/Art Unit 3724

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

| | | | | | |
|--|------------|------------------|--------------|------------------------------|--------------|
| Address Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name Richard Wydeven, Reg. No. 39,881 | | | | | |
| Signature | | | | Date December 3, 2003 | |

| | | | |
|---|------------|--------------------------|-----------------------|
| FEE TRANSMITTAL for FY 2003 (Small Entity) | | <i>Complete if Known</i> | |
| | | Application Number | (to be assigned) |
| | | Filing Date | Concurrently Herewith |
| | | First Named Inventor | CALWELL |
| | | Group Art Unit | |
| | | Examiner Name | |
| | | Attorney Docket Number | 1678-107 |
| | | Customer No. | 6449 |
| Total Amount of Payment | (\$428.00) | Confirmation No. | |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
2. ☒ Payment Enclosed:
☒ Check
☐ Credit Card

FEE CALCULATION
1. FILING FEE

| Fee Code | Fee \$ | Fee Description | Fee Paid |
|----------|--------|------------------------|----------|
| 2001 | 385 | Utility filing fee | [385.00] |
| 2002 | 170 | Design Filing Fee | [] |
| 2003 | 265 | Plant Filing Fee | [] |
| 2004 | 385 | Reissue Filing Fee | [] |
| 2005 | 80 | Provisional Filing Fee | [] |

SUBTOTAL \$385.00

2. CLAIMS

| Total Claims | Independent Claims | Multiple Dependent Claims | Extra Claims | Fee | Fee Paid |
|--------------|--------------------|---------------------------|--------------|---------|----------|
| [15] | [] | [] | - 20** = [0] | x \$9 = | [0] |
| [4] | [] | [] | - 3** = [1] | x 43 = | [43.00] |
| | | | + | 145 = | [] |

**or number previously paid, if greater;

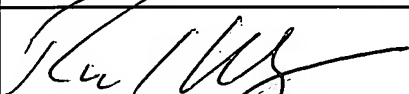
SUBTOTAL \$43.00

FEE CALCULATION (continued)
3. ADDITIONAL FEES

| Fee Code | Fee Paid | Fee Description | Fee Paid |
|----------|----------|--|----------|
| 2051 | 65 | Surcharge - late filing fee or oath | [] |
| 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 2251 | 55 | Extension for reply within first month | [] |
| 2252 | 210 | Extension for reply within second month | [] |
| 2253 | 475 | Extension for reply within third month | [] |
| 2254 | 740 | Extension for reply within fourth month | [] |
| 2255 | 1,005 | Extension for reply within fifth month | [] |
| 2401 | 165 | Notice of Appeal | [] |
| 2402 | 165 | Filing a brief in support of an appeal | [] |
| 2403 | 145 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 2452 | 55 | Petition to revive -unavoidable | [] |
| 2453 | 475 | Petition to revive - unintentional | [] |
| 2501 | 665 | Utility issue fee (or reissue) | [] |
| 2502 | 240 | Design issue fee | [] |
| 2503 | 320 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [] |
| 2809 | 385 | Filing a submission after final rejection (37 CFR .129(a)) | [] |
| 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 2801 | 385 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing an application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| | | Other fee (specify) | [] |

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

| | | | | | |
|----------------------|---|------|--------------------------|-------------------|---------|
| SUBMITTED BY | | | Complete (if applicable) | | |
| NAME AND REG. NUMBER | Richard Wydeven, Reg. No. 39,881 | | | | |
| SIGNATURE |  | DATE | December 3, 2003 | DEP. ACCT USER ID | 02-2135 |